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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Hideki Takada, et al. Examiner: Not yet assigned
Application No.: 10/797,531 Confirmation No.: 4533
Filed: March 10, 2003 Group Art Unit: 2612
For: MASTER-FEEDER HEDGE FUND PRODUCT STRUCTURE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Supplemental Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98 in order to comply with the duty of disclosure under 37 C.F.R. § 1.56. The Statement is being submitted:

- ☐ within three months of the application filing date;
☒ more than three months from the application filing date but before the mailing date of a first Office Action on the merits.

Copies of 9 referenced documents (English-language abstracts are provided for the referenced documents, except JP-2-64927, JP-4-101511 and JP-6-55871. However, each of these Japanese documents are discussed in Applicants' application in the Background of the Invention), which are listed on the accompanying Form PTO/SB/08A, are enclosed herewith. A duplicate copy of Form PTO/SB/08A is transmitted herewith.

In accordance with 37 C.F.R. § 1.98, submission of this statement requires no fee. However, if for any reason a fee is due, the Commissioner is hereby authorized to charge

payment of any fees required in connection with this Information Disclosure Statement to
Deposit Account No. 50-0675, Order No. 848075.0076.

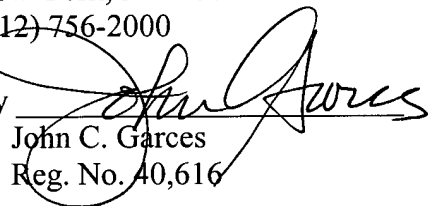
It is respectfully requested that the references be fully considered by the Patent
and Trademark Office during the examination of this application and printed on any patent which
may issue on this application. Applicant requests that a copy of Form PTO/SB/08A, as
considered and initialed by the Examiner, be returned with the next communication.

An early and favorable action is respectfully requested.

Respectfully submitted,

Schulte Roth & Zabel LLP
Attorneys for Applicant
919 Third Avenue
New York, NY 10017
(212) 756-2000

By


John C. Garces
Reg. No. 40,616

Dated: June 19, 2006
New York, New York

Encls.
JCG/ja

CERTIFICATE OF MAILING

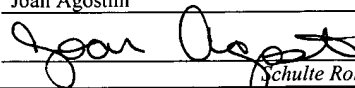
Date of Deposit: June 19, 2006

I hereby certify under 37 C.F.R. 1.8 that this correspondence and enumerated documents are being deposited with the
United States Postal Service as First Class Mail with sufficient postage on the date indicated above and is addressed to the
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Name:

Joan Agostini

Signature:



Schulte Roth & Zabel, LLP



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

(Use as many sheets as necessary)

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| Sheet | 1 | of | 2 |
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Complete if Known

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|------------------------|------------------|
| Application Number | 10/797,531 |
| Filing Date | March 10, 2003 |
| First Named Inventor | Hideki Takada |
| Art Unit | 2612 |
| Examiner Name | Not yet assigned |
| Attorney Docket Number | 848075/0076 |

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| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|--------------------------|---|---------------------|--|---|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T ⁶ |
| | | Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) | MM-DD-YYYY | | | |
| | | JP 3084259 | 03-08-2002 | Kuroda Techno Co., Ltd. | | |
| | | JP 6-64244 | 09-09-1994 | Katsushi Negoro | | |
| | | JP 3-20575 | 02-28-1991 | Hitachi Kokusai Electric Inc. | | |
| | | JP 3-6370 | 01-21-1991 | Hitachi Kokusai Electric Inc. | | |
| | | JP 62-170917 | 10-29-1987 | Kyocera Corporation | | |
| | | JP 2-64927 | 05-16-1990 | Mitsubishi Heavy Industries, Ltd. | | |

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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| Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | Complete if Known | |
| | | Application Number | 10/797,531 |
| | | Filing Date | March 10, 2003 |
| | | First Named Inventor | Hideki Takada |
| | | Art Unit | 2612 |
| | | Examiner Name | Not yet assigned |
| | | Attorney Docket Number | 848075/0076 |
| Sheet 2 | of 2 | | |

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| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|-----------------------|---|--------------------------------|---|---|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T ⁶ |
| | | Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) | | | | |
| | | JP 4-101511 | 09-02-1992 | Olympus Corporation | | |
| | | JP 6-55871 | 08-02-1994 | Murakami Corporation | | |
| | | JP 3-5120 | 01-18-1991 | Olympus Corporation | | |
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